

# **EXHIBIT I**

IN THE SUPERIOR COURT OF THE STATE OF WASHINGTON  
IN AND FOR KING COUNTY

Kathleen Garvin as guardian *ad litem* for J.C., a  
minor child,

Plaintiff,

v.

SEATTLE CHILDREN'S HOSPITAL, a non-  
profit Washington corporation,

Defendant.

No.

**COMPLAINT FOR DAMAGES**

J.C., by and through his guardian *ad litem*, alleges as follows:

**I. PARTIES**

1.1. J.C. is a minor child who is a resident of King County, Washington. J.C. was 11 years old and immune-competent when he underwent a non-emergency neurosurgery at Defendant Seattle Children's Hospital in March 2019. During the surgery he was exposed to and infected with Aspergillus mold, which was present in the operating room.

1.2. Kathleen Garvin is a lawyer licensed to practice in Washington State and is the appointed guardian *ad litem* for J.C.

1.3. Defendant Seattle Children's Hospital ("SCH") is a nonprofit corporation organized under the laws of the State of Washington and authorized to do business in the State

1 of Washington. Defendant is a “health care provider” within the meaning of RCW 7.70.020(3)  
 2 and was at all relevant times duly authorized to provide medical care, treatment, and services  
 3 to J.C.

4 1.4. At all relevant times, Defendant was acting independently and by and through  
 5 its agents and employees, who were acting within the scope and course of their employment.

## 6 II. JURISDICTION AND VENUE

7 2.1 The Court has jurisdiction over the subject matter of this lawsuit pursuant to  
 8 RCW 2.08.010.

9 2.2 Venue is proper in this Court under RCW 4.12.020 because Defendant SCH is  
 10 located in King County and the events giving rise to this action occurred in King County.

## 11 III. FACTUAL ALLEGATIONS

12 3.1 Aspergillus is a genus of mold fungus that can cause a wide spectrum of  
 13 illnesses, some life-threatening. Aspergillus is known to be found in hospital equipment and  
 14 air-filtration systems, through which it can infect unsuspecting patients.

15 3.2 SCH has a long history of Aspergillus mold contamination in its operating  
 16 rooms. According to its Chief Executive Officer, 14 pediatric patients have been infected with  
 17 Aspergillus mold as a result of surgical procedures at SCH since 2001. Also according to the  
 18 Chief Executive Officer of SCH, six of those 14 children died as a result of the fungal infections.

19 3.3 Between January 2004 and May 2005, three pediatric patients developed  
 20 Aspergillus infections after undergoing cardiac surgery at SCH. In 2005 one of those patients,  
 21 through a guardian *ad litem*, and her parents filed a lawsuit, *Dussault v. Seattle Children’s*  
 22 *Hospital and Regional Medical Center*, Case No. 05-2-36911-6 (King County). They asserted  
 23 that SCH’s air-filtration system allowed Aspergillus to enter the operating room, contrary to  
 24 SCH’s then-existing Infection Disease Policies and Procedures Manual. The plaintiffs further  
 25

1 asserted that, again contrary to SCH's own policies, the hospital failed to take routine air  
2 samples in the operating rooms and did not act on air sample testing showing higher levels of  
3 Aspergillus spores inside the facility than outside.

4 3.4 Until November 2019, SCH claimed publicly that a liquid nitrogen tank  
5 contaminated with Aspergillus that was kept near an operating room could have been a source  
6 of the 2004-2005 Aspergillus infections at SCH.

7 3.5 On November 20, 2017, an anonymous SCH employee submitted a  
8 whistleblower complaint to the Washington Department of Health ("DOH") to report an "unsafe  
9 facility condition." The whistleblower reported that SCH had contracted a company to fix leaks  
10 in a SCH building, that the company had to seal the areas due to mold, and that "[t]here is a  
11 potential the mold is in the HVAC system" at SCH.

12 3.6 In the summer of 2018 SCH reported to the DOH that three post-surgical patients  
13 had tested positive for Aspergillosis, the infection caused by Aspergillus exposure.

14 3.7 In January and February 2019, J.C. sought treatment at SCH for scoliosis and  
15 syringomyelia associated with a not-uncommon malformation at the base of his skull. His  
16 surgeon recommended he undergo a decompression surgery to relieve pressure on his spinal  
17 cord and the base of his skull. J.C. did not have a condition that compromised his immune  
18 system. In other words, he was "immune competent."

19 3.8 No one at SCH at any time informed J.C. or his parents that SCH knew of at  
20 least 11 pediatric surgical patients who had been infected with Aspergillus mold in SCH  
21 operating rooms, and that six of those patients had died as a result of those exposures.

22 3.9 J.C.'s condition was not emergent. His surgeon has privileges at other Seattle  
23 hospitals, where the procedure could have been performed.

24 3.10 On March 19, 2019, J.C. underwent Chiari decompression surgery at SCH.  
25

1           3.11 Within a week of his discharge, J.C. began to experience persistent meningitic  
2 symptoms, including excruciating headaches, neck pain and decreased neck mobility, and  
3 sensitivity to loud noises. J.C. was mostly bedridden for three weeks after his discharge. In the  
4 following weeks, J.C.'s symptoms worsened.

5           3.12 Throughout this time J.C.'s parents were in repeated contact with SCH regarding  
6 J.C.'s symptoms because of their severity and because they did not seem consistent with a  
7 normal recovery for his surgery.

8           3.13 On information and belief, SCH discovered the presence of Aspergillus mold  
9 spores in several of its operating rooms during the week of May 13, 2019.

10          3.14 SCH closed several operating rooms on or about May 18, 2019, as a result of the  
11 Aspergillus mold outbreak.

12          3.15 On May 20, 2019, SCH notified the Washington State Department of Health that  
13 SCH had again discovered Aspergillus mold in several of its operating rooms. It made the  
14 disclosure to the State at this time because of a concern that information about the presence of  
15 Aspergillus had been leaked to the news media. SCH did not notify the U.S. Centers for Disease  
16 Control of the 2019 outbreak, even though the CDC has high-level expertise in controlling mold  
17 in health care facilities and even though the CDC had provided SCH expert guidance following  
18 the 2018 Aspergillus outbreak. The CDC only learned of the 2019 mold outbreak after seeing  
19 a story on CNN.

20          3.16 On May 21, 2019, a number of news stories appeared in the media concerning  
21 the Aspergillus exposures at SCH and the closure of some operating rooms.

22          3.17 J.C. continued to seek treatment and care at SCH for his worsening symptoms.  
23 On May 22, 2019, physicians at SCH ordered an MRI of J.C.'s brain and spine, which had a  
24 finding consistent with arachnoiditis inflammation, a progressive neuroinflammatory disease  
25

1 that causes nerve roots to stick together or “clump” and adhere to the arachnoid lining.

2 3.18 On May 24, 2019, at 5:12pm, J.C. was admitted to the emergency department at  
3 SCH for a lumbar procedure. In light of J.C.’s symptoms, physicians at SCH recommended  
4 J.C. be evaluated for “potential aspergillosis due to known OR contamination.” Aspergillus  
5 testing was ordered, but SCH providers told J.C. and his parents that it was unlikely he had an  
6 Aspergillus infection.

7 3.19 The lab results came back on May 24, 2019 at 8:55 p.m. showing J.C. tested  
8 positive for Aspergillus.

9 3.20 Physicians at SCH allowed J.C. to be discharged at 10:42 pm on May 24, 2019,  
10 without notifying J.C. of his positive Aspergillus test results.

11 3.21 At 11:43 pm on May 24, 2019, SCH authorized the implementation of the  
12 “Aspergillus Clinical Support Guidelines,” including the Aspergillus Exposure Prophylaxis  
13 Guideline and lab monitoring galactomannan testing.

14 3.22 On May 24, 2019, SCH closed 10 additional operating rooms due to the  
15 Aspergillus outbreak. SCH’s operating rooms remained closed until July 4, 2019.

16 3.23 On May 25, 2019, approximately 12 hours after J.C. and his parents left the SCH  
17 emergency department, SCH notified them that J.C. needed to return to the hospital due to  
18 positive Aspergillus test results. Later that day, J.C. was diagnosed with “CNS [central nervous  
19 system] aspergillosis from OR contamination” and readmitted to SCH for extended treatment  
20 for the infection.

21 3.24 J.C. remained hospitalized at SCH for four weeks while he underwent daily  
22 infusions using potent and toxic antifungal medications, close monitoring of his kidneys and  
23 other systems, which can be damaged as a side effect of the anti-fungal medication, and  
24 treatment for the excruciating headache and back pain he was experiencing as a result of the  
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1 inflammatory condition in his spine. This inflammatory condition was a direct result of the  
2 systemic fungal infection, which went undiagnosed and untreated for weeks. J.C. was  
3 discharged from SCH on June 23, 2019, but continued treatment as an outpatient for several  
4 weeks thereafter requiring lengthy daily trips to SCH for infusions.

5 3.25 He was prescribed multiple medications as part of his continuing treatment.  
6 Notwithstanding these medications, J.C. continues to struggle with severe pain in his head,  
7 neck, lower back, and legs. J.C. also experiences stomach pain, nausea, vomiting, and decreased  
8 appetite.

9 3.26 J.C. is scheduled to continue treatment by oral administration of voriconazole,  
10 through May, 2020, if the side effects –including liver damage, hair loss, visual disturbances,  
11 and bone disease –do not become intolerable. He is at elevated risk of a recurrence of infection  
12 from exposure to Aspergillus.

13 3.27 As a result of the Aspergillosis and arachnoiditis, J.C. is in constant pain and  
14 suffers from deconditioning; decreased strength, range of motion, muscle length, functional  
15 mobility and endurance; chronic pain in the back and lower extremities; impaired gait and  
16 balance; and generalized weakness.

17 3.28 J.C. is severely limited in his ability to remain seated for a full class period, let  
18 alone a full school day. He requires extensive physical therapy.

19 3.29 After closing its operating rooms in May 2019, SCH conducted an investigation  
20 into the source of the Aspergillus outbreak. It has shielded the details of that investigation from  
21 public disclosure, suing KING-5 to prevent it from obtaining documents about its investigation  
22 from the King County Health District.

23 3.30 SCH has now acknowledged that since 2001, 14 surgical patients have been  
24 infected with Aspergillus through exposure in its operating rooms. Three of those patients were  
25

1 infected in 2018 and three, including J.C., were infected in 2019. Six of those patients have  
 2 died from their infections, including one who was infected in 2018.

3 3.31 In November 2019, SCH announced that it now attributed the Aspergillus  
 4 outbreaks in its operating rooms to problems with its HVAC air-filtration system. SCH  
 5 concluded that the 2018 infections were likely a result of small gaps in the walls of two  
 6 operating rooms at SCH. SCH concluded that the 2019 infections were likely caused by a gap  
 7 in the air filters used at SCH.

8 3.32 In 2019, the Washington State DOH and federal Centers for Medicare and  
 9 Medicaid Services (“CMS”) found SCH deficient in effectively overseeing its quality  
 10 improvement and infection control, thereby putting patients at risk of harm from pathogenic  
 11 organisms. Specifically, the DOH and CMS investigation concluded that, between July 2018  
 12 and April 2019, SCH failed to complete routine maintenance on exhaust fans or to regularly  
 13 replace pre-filters on air-filtration systems.

14 3.33 On November 11, 2019, SCH announced that it had closed three operating rooms  
 15 and two procedural areas after Aspergillus was once again discovered. At least one new patient  
 16 was reported to have been infected with Aspergillus shortly after SCH’s November 11, 2019  
 17 announcement.

18 3.34 Ten operating rooms at SCH are currently scheduled to remain closed until  
 19 January 2020 while the hospital installs HEPA filters.

#### 20 **IV. FIRST CAUSE OF ACTION: PREMISES LIABILITY**

21 4.1 Plaintiff realleges and incorporates by reference the allegations set forth in  
 22 paragraphs 1.1 through 3.36 of this Complaint.

23 4.2 J.C. was an invitee on Defendant’s premises when he entered SCH for the  
 24 purpose of obtaining medical care on or about March 19, 2019, and every date thereafter.  
 25



1           4.3 Defendant had a special relationship with J.C. as an invitee on its premises.

2           4.4 Defendant owed a duty to J.C. to maintain its premises in a reasonably safe  
3 condition and to discover any dangerous conditions on Defendant's premises.

4           4.5 Defendant knew about, or by the exercise of reasonable care should have known  
5 about or discovered, the presence of Aspergillus mold in its operating rooms. Defendant was  
6 aware as early as 2004 or 2005 that contaminated hospital equipment and air-filtration systems  
7 could cause patients at SCH to become infected with Aspergillus. Prior to J.C.'s March 19,  
8 2019 surgery, Defendant knew as recently as August 2018 that Aspergillus was present on its  
9 premises after three post-surgical patients tested positive for Aspergillosis.

10           4.6 Despite knowing, or having reason to know of, the risk that patients at SCH  
11 could be infected with Aspergillus through hospital equipment and SCH's air-filtration systems,  
12 Defendant failed to install an appropriate air filtration system, to perform reasonable monitoring  
13 of its premises for the presence of mold, or to perform reasonable maintenance on or inspection  
14 of its equipment and air-filtration systems to keep its premises free from Aspergillus.

15           4.7 Defendant breached its duty owed to J.C. by, among other things, failing to  
16 install an appropriate air filtration system, to perform reasonable monitoring of its premises for  
17 the presence of mold, or to perform reasonable maintenance on or inspection of its equipment  
18 and its air-filtration systems; failing to keep its operating rooms free from Aspergillus mold;  
19 and from failing to discover the presence of Aspergillus in its operating rooms.

20           4.8 Defendant's acts and omissions were a proximate cause of the injuries to J.C.  
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## 22           **V. SECOND CAUSE OF ACTION: CORPORATE NEGLIGENCE**

23           5.1 Plaintiff realleges and incorporate by reference the allegations set forth in  
24 paragraphs 1.1 through 4.8 of this Complaint.

25           5.2 Defendant SCH, as a hospital, owed a non-delegable duty directly to J.C. to

1 ensure his safety and welfare while J.C. remained within Defendant's confines.

2 5.3 Defendant breached its duty to J.C. by failing to use reasonable care in the  
3 maintenance of its grounds and facilities. Among other things, Defendant breached its duty to  
4 J.C. by failing to install an appropriate air filtration system, to perform reasonable monitoring  
5 of its premises for the presence of mold, or to perform reasonable maintenance on or inspection  
6 of its equipment and its air-filtration systems; failing to keep its operating rooms free from  
7 Aspergillus mold; and from failing to discover the presence of Aspergillus in its operating  
8 rooms.

9 5.4 Defendant's acts and omissions were a proximate cause of the injuries to J.C.

# 10 **VI. THIRD CAUSE OF ACTION: MEDICAL NEGLIGENCE (CH. 7.70 RCW)**

11 6.1 Plaintiff realleges and incorporate by reference the allegations set forth in  
12 paragraphs 1.1 through 5.4 of this Complaint.

13 6.2 As a "health care provider" under 7.70 RCW, Defendant SCH and its  
14 agents/employees owed J.C. a duty to comply with the accepted standard of care in treating its  
15 patients, including J.C.

16 6.3 Defendant SCH and its agents/employees failed to exercise the degree of care,  
17 skill, and learning expected of a reasonably prudent health care provider similarly situated when  
18 J.C. sought treatment at SCH beginning in March 2019. Specifically, Defendant breached the  
19 standard of care when, among other things, it failed to prevent an infestation of Aspergillus  
20 mold in its operating rooms; failed to detect the presence of Aspergillus mold in its operating  
21 rooms; allowed J.C. to undergo neurosurgery in an operating room contaminated with  
22 Aspergillus mold on or about March 19, 2019, during which time J.C. was infected with  
23 Aspergillois; and failed to timely diagnose or treat the fungal infection due to J.C.'s exposure  
24 to Aspergillus mold spores in the operating room on March 19, 2019.  
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6.4 Defendant's acts and omissions were a proximate cause of the injuries to J.C. As a direct result of Defendant's breach of the standard of care, J.C. was infected with Aspergillosis. The Aspergillosis caused J.C. to develop arachnoiditis, a debilitating and progressive condition that J.C. will suffer from for the rest of his life and that will likely worsen over time.

#### **VII. Fourth Cause of Action: Res Ipsa Loquitur**

7.1 Plaintiff realleges and incorporate by reference the allegations set forth in paragraphs 1.1 through 6.4 of this Complaint.

7.2 J.C.'s exposure to and infection with Aspergillus mold at SCH would not ordinarily happen in the absence of negligence.

7.3 SCH had exclusive control over its premises, including its systems and operating rooms in which J.C. was exposed and infected, and which has caused J.C.'s injuries.

7.4 J.C. did not contribute to his exposure and infection with Aspergillus mold at SCH.

#### **VIII. No Consent to Arbitration**

8.1 Plaintiff as guardian *ad litem* for J.C. does not elect to submit this dispute to arbitration pursuant to RCW 7.70A.020.

#### **IX. No Consent to Joining Class Action**

9.1 J.C., by and through his guardian ad litem, does not consent to being a part of any class action or having his case consolidated with any other action being brought as a class action.

#### **PRAYER FOR RELIEF**

Based on the foregoing, Plaintiff respectfully requests the following relief:

A. For judgment against Defendant for premises liability, corporate negligence, and

1 medical negligence;

2 B. For judgment against Defendant for all general and special damages allowable  
3 by law, including without limitation damages for past and future pain, suffering, disability, loss  
4 of enjoyment of life, disfigurement, emotional distress, medical and other expenses, and  
5 impaired earning capacity, all in amounts to be proven at the time of trial;

6 C. For an award of attorneys' fees and costs, as permitted by law or equity; and

7 D. For such other relief as the Court may deem just and appropriate.  
8

9 DATED this 2<sup>nd</sup> day of December, 2019.  
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